

Susan B. Egan

*CRD#: 5188244*

*Woloshin Investment Management, LLC*

*40 North Main Street  
Medford, NJ  
08055  
609-654-9700*

Brochure Supplement

*March 20, 2024*

**This brochure supplement provides information about Susan B. Egan that supplements the Woloshin Investment Management brochure. You should have received a copy of that brochure. Please contact Michael Woloshin, Managing Director, if you did not receive Woloshin Investment Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Susan Egan is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Educational Background and Business Experience***

Susan B. Egan, Senior Portfolio Manager and CCO

*Year of birth:* 1965

*Formal education:*

BS in Business - Finance Concentration, Marymount College, Tarrytown, NY, 1986

MBA – Finance Concentration, Fordham University, Bronx, NY 1995

*Business background:*

- Advisor, Woloshin Investment Management, LLC (03/2007 – Present)
- Registered Representative, Summit Brokerage (11/2015 – 04/2016)
- Registered Representative, JP Turner & Co., Inc. (07/2006-11/2015)

Ms. Egan, senior portfolio manager, is a member of the Investment Management Committee contributing to the asset allocation and security selection of the WIM portfolios. In addition, as CCO she is responsible to ensure compliance to WIM's Code of Ethics.

## ***Disciplinary Information***

Susan B. Egan has not been the subject of any legal or disciplinary event.

## ***Other Business Activities***

Our advisory representatives are also licensed with various insurance companies. Commissions may be earned by our financial advisors if insurance products are purchased through these insurance companies. Ms. Egan holds an insurance license in the state of New Jersey.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request. We also recommend no-load and load-waived mutual funds to further reduce conflicts of interest. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

In addition to her responsibilities at WIM, Ms. Egan is the business manager of Eagle Manor Farm. This responsibility is outside of the hours and business of WIM.

## ***Additional Compensation***

Ms. Egan does not receive any additional compensation related to the advisory services provided to you.

### ***Requirements for State-Registered Advisors***

Ms. Egan does not have any reportable events to disclosed nor subject to a bankruptcy petition.

### ***Supervision***

Ms. Egan is supervised by Michael Woloshin, Managing Director, Woloshin Investment Management. Mr. Woloshin can be reached at 609-654-9700.

We supervise Ms. Egan by requiring that she adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Ms. Egan gives to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established,
- A daily review of account transactions,
- Review custodial information on a quarterly basis to assess account activity,
- Perform annual oversight so that Ms. Egan is aware of your current financial situation, objectives, and individual investment needs,
- A review of client correspondence on an as needed basis.

Katie Woloshin Corsetto

*CRD#: 6063553*

*Woloshin Investment Management, LLC*

*40 North Main Street  
Medford, NJ  
08055  
609-654-9700*

Brochure Supplement

*March 20, 2024*

**This brochure supplement provides information about Katie Woloshin Corsetto that supplements the Woloshin Investment Management brochure. You should have received a copy of that brochure. Please contact Michael Woloshin, Managing Director, if you did not receive Woloshin Investment Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Katie Woloshin Corsetto is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Educational Background and Business Experience***

Katie Woloshin Corsetto, Advisor and Portfolio Management Team Member

*Year of birth:* 1990

### *Formal education:*

BS – Writing, Rhetoric and Technical Communication – James Madison University, Harrisonburg, VA 2012

### *Business background:*

- Advisor, Woloshin Investment Management, LLC (04/2015 – Present)
- Registered Representative, Summit Brokerage (11/2015 – 04/2016)
- Registered Representative, JP Turner & Co., Inc. (04/2015-11/2015)
- Advisor, McAdam, LLC (10/2014 – 03/2015)
- Registered Representative, Pershe Kaplan Sterling (10/2014 – 03/2015)
- Advisor and Registered Representative, Voya Financial Advisors (05/2012 – 10/2014)

Ms. Corsetto is a member of the Investment Policy Committee contributing to the asset allocation and security selection of the WIM portfolios.

## ***Disciplinary Information***

Ms. Corsetto has not been the subject of any legal or disciplinary event.

## ***Other Business Activities***

Our advisory representatives are also licensed with various insurance companies. Commissions may be earned by our financial advisors if insurance products are purchased through these insurance companies. Ms. Corsetto holds an insurance licenses in the states of New Jersey, Virginia, Maryland, Connecticut and Pennsylvania.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.

The above arrangements present a conflict of interest because they create an incentive to make recommendations based upon the amount of compensation we receive rather than based upon your needs. We will explain the specific costs associated with any recommended investments with you upon request. We also recommend no-load and load-waived mutual funds to further reduce conflicts of interest. Additionally, you have the option to purchase investment and insurance products through other brokers or agents who are not affiliated with us.

## ***Additional Compensation***

Ms. Corsetto does not receive any additional compensation related to the advisory services provided to you.

### ***Requirements for State-Registered Advisors***

Ms. Corsetto does not have any reportable events to disclose nor subject to a bankruptcy petition.

### ***Supervision***

Ms. Corsetto is supervised by Michael Woloshin, Managing Director, Woloshin Investment Management. Mr. Woloshin can be reached at 609-654-9700.

We supervise Ms. Corsetto by requiring that she adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Ms. Corsetto gives to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established,
- A daily review of account transactions,
- Review custodial information on a quarterly basis to assess account activity,
- Perform annual oversight so that Ms. Corsetto is aware of your current financial situation, objectives, and individual investment needs,
- A review of client correspondence on an as needed basis.

Michael A. Woloshin

CRD#: 732640

*Woloshin Investment Management, LLC*

*40 North Main Street  
Medford, NJ  
08055  
609-654-9700*

Brochure Supplement

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**This brochure supplement provides information about Michael A. Woloshin that supplements the Woloshin Investment Management brochure. You should have received a copy of that brochure. Please contact Michael Woloshin, Managing Director, if you did not receive Woloshin Investment Management's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Michael Woloshin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ***Educational Background and Business Experience***

Michael A. Woloshin, Managing Director

*Year of birth:* 1956

*Formal education:*

University of Delaware: BS Economics, 1980

*Business background:*

- Managing Director, Advisor, Woloshin Investment Management, LLC (04/2004 – Present)
- Registered Representative, Summit Brokerage (11/2015 - 04/2016)
- Registered Representative, JP Turner & Co., Inc. (04/2004-11/2015)
- Senior Vice President, Registered Representative, Oppenheimer & Co., Inc. (02/1993 – 03/2004)

Mr. Woloshin is the founder and Managing Director of WIM. He is a senior member of the Investment Management Committee, contributing to asset allocation and security selection for the WIM Portfolios.

## ***Disciplinary Information***

Mr. Woloshin has been in the following events that are deemed reportable:

Arbitration claims above \$2,500:

- 9/24/2001 Client Alleged unsuitable transactions for \$100,000. Customer Dispute Settled for \$19,000. Broker contribution \$9,520
- 3/1/2000 Client alleged broker hired money manager unsuitable transactions for \$74,974. Customer Dispute Settled for \$14,000. Broker contribution \$7,000.
- 12/8/1993 Customer Alleged Churning \$56,500. Smith Barney settled. No Broker contribution.

Self-Regulatory Organization proceedings:

- 10/29/1990 NASD: Broker failed to offer mutual fund break points. Broker was censured, fined \$5,000 and customer restitution of \$10,000.
- 8/29/1997 NASD: Lacking reasonable basis for recommendations. Broker was censured, fined \$10,000 and suspended for five business days.

## ***Other Business Activities***

Our advisory representatives are also licensed with various insurance companies. Commissions may be earned by our financial advisors if insurance products are purchased through these insurance companies. Mr. Woloshin holds an insurance license in the states of New Jersey, Pennsylvania, Massachusetts, Connecticut, Florida, and Georgia.

We may receive benefits such as assistance with conferences and educational meetings from product sponsors.



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In addition to his responsibilities at WIM Mr. Woloshin is the Director of Advanced Educational Services. This outside business is handled outside of the hours and business of WIM.

Mr. Woloshin is the founder, owner, and General Partner of American Fiduciary Services, LLC (AFS).

AFS provides trust and trust-related services for trusts that are used for a Deferred Sales Trust™ (DST) strategy. The use of a Deferred Sales Trust may allow for the deferral of taxes on the sale of real estate or business assets in certain circumstances. AFS is not an accounting or law firm and does not offer legal or tax advice. AFS does not offer financial or planning advice, and it does **assess** investment recommendations.

Certain clients of American Fiduciary Services, LLC are also clients of the WIM. Our advisory services are separate and distinct from the compensation paid to AFS for providing trust and trustee services. Providing non-advisory services presents a potential conflict of interest because Mr. Woloshin or our IARs may have a financial incentive to recommend the services of AFS to you. While AFS believes that fees charged for their trust and trustees services are competitive, their fees may be higher than fees charged by other firms providing the same service. You are under no obligation to use AFS' trustee services. You may obtain comparable services and/or lower fees through other firms.

AFS and WIM are affiliated entities. Both firms are owned wholly by Michael Woloshin.

### ***Additional Compensation***

Mr. Woloshin does not receive any additional compensation related to the advisory services provided to you.

### ***Requirements for State-Registered Advisors***

Mr. Woloshin's reportable events are listed above. He is not subject to a bankruptcy petition.

### ***Supervision***

Mr. Woloshin is supervised by Susan Egan, Chief Compliance Officer, Woloshin Investment Management. Ms. Egan can be reached at 609-654-9700.

We supervise Mr. Woloshin by requiring that he adhere to processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Mr. Woloshin gives to you by performing

the following reviews:

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